

M-I L.L.C. Legal Department – Patents 5950 North Course Drive Houston, TX 77072 Tel. 281.561.1450

Fax. 281.561.1452

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: PATEL, Arvind D.

Group Art Unit: 1712

Serial No.: 10/647830

Examiner: RICHARD, Charles R.

Confirmation No.: 2956

Atty. Dkt. No.: PA-00412US

Filed: 08/25/2003

(11836.0750.NPUS00)

1 11**0a**: 00/25/2005

For: Shale Hydration Inhibition Agent and

METHOD OF USE

## Information Disclosure Statement Under 37 C.F.R. 1.97(c)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Applicants submit herewith patents, publications, and other materials and information which they are aware of and which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose. These items have been listed on PTO FORM 1449 of which there is one (1) page enclosed herewith in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b). In accordance with 37 CFR 1.98, only copies of foreign patent documents and non-patent literature, if any, are enclosed.

The Information Disclosure Statement submitted herewith is believed to be filed before the mailing date of a final Office Action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application. [37 C.F.R. 1.97(c)].



Serial No.: 10/647830 Confirmation No.: 2956

Applicant: PATEL, Arvind D.

Atty. Ref.: PA-00412US

This paper is to serve as authorization to charge the fee set forth in 37 C.F.R. 1.17(p) to the MI-SWACO Deposit Account No. 13-3082, Reference No. PA-00412US.

It is respectfully requested that the listed items be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant further requests that an initialed copy of the enclosed FORM 1449 be entered into the application file wrapper and returned to the applicants with the next communication from the Office in accordance with M.P.E.P. § 609.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is or is considered to be, material to the patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner [37 C.F.R. 1.97(g)].

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Applicant hereby requests for any extension of time that may be deemed necessary to further the prosecution of this application. Applicant's representative hereby authorizes the Commissioner to charge any additional fees that may be required, or credit any overpayment, to the MI-SWACO Deposit Account No. 13-3082, referencing PA-00412US.

In order to facilitate the resolution of any issues or questions presented by this paper, Applicant respectfully requests that the Examiner directly contact the undersigned by phone to further the discussion, reconsideration, and allowance of the claims.

Respectfully submitted,

Carter White

Patent Counsel, M-I L.L.C.

Reg. No. 41374

Tel. 281 561 1450 Date: 12/4/05

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Con	nplete if Known	
	Application Number	10/647830	_
	Filing Date	08/25/2003	
	First Named Inventor	PATEL, Arvind D.	
	Art Unit	1712	
	Examiner Name	RICHARD, Charles R	
_	Attorney Docket Number	PA-00412US	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6247543	06-19-2001	Patel et al.	
	2	US- 6484821	11-26-2002	Patel et al.	-
	3	US- 6609578	08-26-2003	Patel et al.	
	4	<sup>US-</sup> 6857485	02-22-2005	Patel et al.	
	5	<sup>US-</sup> 6831043	12-14-2004	Patel et al.	
	6	<sup>US-</sup> 2960464	11-15-1960	Weiss et al	
	7	<sup>US-</sup> 3123559	3-3-1964	Fischer	
	8	<sup>US-</sup> 3385789	5-28-1968	King	
	9	<sup>US-</sup> 3404165	10-01-1968	Budde, Jur. et al.	
	10	<sup>US-</sup> 3726796	4-10-1973	Schweiger	
	11	<sup>US-</sup> 3928695	12-23-1975	Philip et al.	
	12	<sup>US-</sup> 4148736	4-10-1979	Meister	
	13	<sup>US-</sup> 4220585	9-02-1980	Javora et al.	
	14	<sup>US-</sup> 4366071	12-28-1982	McLaughlin et al.	
	15	US- 4366072	12-28-1982	McLaughlin et al.	
	16	US- 4366074	12-28-1982	McLaughlin et al.	
	17	<sup>US-</sup> 4383933	5-17-1983	Jenkins	
	18	<sup>US-</sup> 4435564	3-06-1984	House et al.	
	19	<sup>US-</sup> 4440649	4-03-1984	Loftin et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	1	CA 876019	7/1971			
	2	CA 1185779	4/1985			
	3	CA 2088344	6/1987			
	4	DE 3238394	4/1984			
	5	DE 272287	10/1989			
	6	EP 0125957	4/1984			

Examiner	 Date	
Signature	Considered	

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04.0			Application Number	10/674830	
I N	IFORMATION D	NECL OSLIDE	Filing Date	08/25/2003	
		<del>-</del>	First Named Inventor	PATEL, Arvind D.	
S	TATEMENT BY		Art Unit	1712	
	(Use as many sheets	as necessary)	Examiner Name	RICHARD, Charles R.	
Choot	2	15	Attorney Docket Number	PA-00412US	

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	20	US- 4519922	5028-1985	Sutton et al.	- Janes Appear
	21	<sup>US-</sup> 4526693	7-02-1985	Son et al.	
	22	<sup>US-</sup> 4536297	8-20-1985	Loftin et al.	
	23	<sup>US-</sup> 4605772	8-12-1986	Darby et al.	
	24	<sup>US-</sup> 4637883	1-20-1987	Patel et al.	
	25	<sup>US-</sup> 4645608	2-24-1987	Rayborn	
	26	<sup>US-</sup> 4666613	5-19-1987	Schapira et al.	
	27	US- 4710586	12-1-1987	Patel et al.	
	28	<sup>US-</sup> 4713183	12-15-1987	Patel et al.	
	29	<sup>US-</sup> 4767549	8-30-1988	McEwen et al.	
	30	<sup>US-</sup> 4820511	4-11-1989	Hoffkee et al.	
	31	<sup>US-</sup> 4828724	5-9-1989	Davidson	
	32	<sup>US-</sup> 4828726	5-9-1989	Hines et al.	
	33	US- 4842073	6-27-1989	Himes et al.	
	34	us- 4847342	7-11-1989	Peiffer	<u>-</u>
	35	<sup>US-</sup> 4889645	12-26-1989	Firth et al.	
	36	<sup>US-</sup> 4913585	4-03-1990	thompson et al.	
	37	<sup>US-</sup> 4940764	7-10-1990	Meister	
	38	<sup>US-</sup> 4990270	2-5-1991	Meister	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	7	EP 0136773	6/1984			
	8	EP 0182669	5/1986			
	9	EP 0241340	3/1987			
	10	EP 0330379	8/1989			
	11	GB 2164370	3/1986			
	12	GB 2175291	11/1986			

Examiner	Date	
Signature	Consi	sidered
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Our	Salate 10 10 III 1440II 10	Application Number	10/647830		
18	FORMATION DISCLOSURE	Filing Date	08/25/2003		
		First Named Inventor	PATEL, Arvind D.		
S	TATEMENT BY APPLICANT	Art Unit	1712		
	(Use as many sheets as necessary)	Examiner Name	RICHARD, Charles R		
Sheet	3 of 5	Attorney Docket Number	PA-00412US		

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	39	<sup>US-</sup> 5026490	6-25-1991	Peiffer et al.	
	40	<sup>US-</sup> 5066753	11-19-1991	Peiffer et al.	
	41	US- 5089151	2-18-1992	Hall et al.	
	42	<sup>US-</sup> 5097904	3-24-1992	Himes	
	43	<sup>US-</sup> 5099923	3-31-1992	Aften et al.	
	44	<sup>US-</sup> 5149690	9-22-1992	Patel et al.	
	45	<sup>US-</sup> 5211250	5-18-1993	Kubena, Jr. et al.	
	46	<sup>US-</sup> 5260268	11-9-1993	Forsberg et al.	
	47	<sup>US-</sup> 5350740	9-27-1994	Patel et al.	
	48	<sup>US-</sup> 5380706	1-10-1995	Himes et al.	
	49	<sup>US-</sup> 5424284	6-13-1995	Patel et al.	
	50	<sup>US-</sup> 5558171	9-24-1996	McGlothlin et al.	
	51	<sup>US-</sup> 5593952	1-14-1997	Jarrett	
	52	<sup>US-</sup> 5741758	4-21-1998	Pakulski	
	53	US- 5771971	6-30-1998	Horton et al.	
	54	<sup>US-</sup> 5908814	6-01-1999	Patel et al.	
	55	<sup>US-</sup> 6054416	4-25-2000	Bland	
	56	<sup>US-</sup> 6103671	8-15-2000	Dobson et al.	
	57	<sup>US-</sup> 4374739	2-22-1983	McLaughlin et al.	

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	13	RU 486128	1/1976			
	14	RU 1320220	6/1987	,		
	15	WO 88/07499	10/1988			
	16	WO 90/07337	7/1990			
	17	EP 0634468	1/1995			
	18	EP 0838514	4/1998			

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Signature	Con	nsidered	

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Complete if Known				
Application Number	10/647830			
Filing Date	08/25/2003			
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	58	<sup>US-</sup> 5330662	7-19-1994	Jahnke et al.			
<u> </u>	59	<sup>US-</sup> 3852201	12-03-1974	Jackson			
	60	<sup>US-</sup> 3956141	5-11-1976	Walker			
	61	<sup>US-</sup> 4792412	12-20-1998	Heilweil			
	62	<sup>US-</sup> 4942929	7-24-1990	Malachosky et al.			
	63	<sup>US-</sup> 5129469	7-14-1992	Jackson			
	64	<sup>US-</sup> 5226742	7-13-1993	Perkins			
	65	<sup>US-</sup> 5310285	5-10-1994	Northcut			
	66	<sup>US-</sup> 5314265	5-24-1994	Perkins et al.			
	67	<sup>US-</sup> 533912	8/1994	Hosie et al.	***		
	68	<sup>US-</sup> 5358049	10-25-1994	Hale et al.			
	69	<sup>US-</sup> 5405223	4-11-1995	Sirevag			
	70	<sup>US-</sup> 5405224	4-11-1995	Aubert et al.			
	71	<sup>US-</sup> 5480863	1-02-1996	Oakley et al.			
	72	us- 5558161	9-24-1996	Vitthal et al.			
	73	<sup>US-</sup> 5589603	12-31-1996	Alexander et al.			
	74	<sup>US-</sup> 5593952	1-14-1997	Jarrett			
	75	<sup>US-</sup> 5662169	9-02-1997	Hosie			
	76	<sup>US-</sup> 5789352	804-1998	Carpenter et al.			

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	19	WO 93/02151	2/1993				
	20	WO 01/59028	8/2001				
	21	WO 02/38697	5/2002				
	22	WO 02/102922	12/2002				
	23	WO99/09109	02/1999				
***	24	WO99/660066	12/1999				

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	77	<sup>US-</sup> 5804535	9-08-1998	Dobson et al.	
	78	<sup>US-</sup> 5961438	10-05-1999	Ballantine et al.	
	79	<sup>US-</sup> 6063737	5-16-2000	Haberman et al.	
	80	<sup>US-</sup> 6106733	8-22-2000	Wood	
	81	<sup>US-</sup> 6119779	9-19-2000	Gipson et al.	
	82	<sup>US-</sup> 6124244	9-26-2000	Murphy	
	83	<sup>US-</sup> 6213213	4-10-2000	van Batenburg et al.	
	84	<sup>US-</sup> 2470831	5-24-1949	Monson	
	85	<sup>US-</sup> 5427999	6-27-1995	Clewlow et al.	
	86	<sup>US-</sup> 5635458	6-3-1997	Lee	
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Examiner Signature		Date Considered	
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